

UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspto.gov

| APPLICATION NO. | FILING DATE | FIRST NAMED INVENTOR | ATTORNEY DOCKET NO. | CONFIRMATION NO. |
|--|----------------|-------------------------|---------------------|------------------|
| 09/896,174 | 06/29/2001 | Kevin Paul Downes | 159.1.847 | 9551 |
| 7: | 590 06/16/2005 | | EXAM | INER |
| ALLEN R KIPNES, ESQUIRE WATOV & KIPNES P.C. | | | HENDERSON, MARK T | |
| | | | | |
| P.O. Box 247 | | | ART UNIT | PAPER NUMBER |
| Princeton Junction, NJ 08550 | | | 3722 | |
| | | DATE MAILED: 06/16/2005 | | |

Please find below and/or attached an Office communication concerning this application or proceeding.

| | | SP | | | |
|--|--|--|--|--|--|
| | Application No. | Applicant(s) | | | |
| | 09/896,174 | DOWNES ET AL. | | | |
| Office Action Summary | Examiner | Art Unit | | | |
| | Mark T Henderson | 3722 | | | |
| The MAILING DATE of this communication | appears on the cover sheet wi | th the correspondence address | | | |
| Period for Reply | DLV IO OET TO EVDIDE - M | ONT. ((0) 500M | | | |
| A SHORTENED STATUTORY PERIOD FOR RE THE MAILING DATE OF THIS COMMUNICATIO - Extensions of time may be available under the provisions of 37 CFF after SIX (6) MONTHS from the mailing date of this communication. - If the period for reply specified above is less than thirty (30) days, a - If NO period for reply is specified above, the maximum statutory per - Failure to reply within the set or extended period for reply will, by state Any reply received by the Office later than three months after the meanned patent term adjustment. See 37 CFR 1.704(b). | N. R 1.136(a). In no event, however, may a re- reply within the statutory minimum of thirt riod will apply and will expire SIX (6) MON atute, cause the application to become AB | eply be timely filed by (30) days will be considered timely. THS from the mailing date of this communication. SANDONED (35 U.S.C. § 133). | | | |
| Status | | | | | |
| 1) Responsive to communication(s) filed on 2 | 7 May 2005. | | | | |
| 2a)☐ This action is FINAL . 2b)⊠ T | ☐ This action is FINAL . 2b) ☐ This action is non-final. | | | | |
| 3) Since this application is in condition for allo | Since this application is in condition for allowance except for formal matters, prosecution as to the merits is | | | | |
| closed in accordance with the practice unde | er <i>Ex par</i> te Quayle, 1935 C.D | . 11, 453 O.G. 213. | | | |
| Disposition of Claims | | | | | |
| 4)⊠ Claim(s) 1 and 3-8 is/are pending in the ap | plication. | | | | |
| 4a) Of the above claim(s) is/are with | | | | | |
| 5) Claim(s) is/are allowed. | | | | | |
| 6)⊠ Claim(s) <u>1, 3-8</u> is/are rejected. | | | | | |
| 7) Claim(s) is/are objected to. | | | | | |
| 8) Claim(s) are subject to restriction an | d/or election requirement. | | | | |
| Application Papers | | | | | |
| 9)☐ The specification is objected to by the Exam | niner. | | | | |
| 10) The drawing(s) filed on is/are: a) = 3 | accepted or b) objected to | by the Examiner. | | | |
| Applicant may not request that any objection to | the drawing(s) be held in abeyan | ice. See 37 CFR 1.85(a). | | | |
| Replacement drawing sheet(s) including the con | rection is required if the drawing(| (s) is objected to. See 37 CFR 1.121(d). | | | |
| 11)☐ The oath or declaration is objected to by the | Examiner. Note the attached | I Office Action or form PTO-152. | | | |
| Priority under 35 U.S.C. § 119 | | | | | |
| 12) ☐ Acknowledgment is made of a claim for fore | ign priority under 35 U.S.C. § | 119(a)-(d) or (f). | | | |
| a) ☐ All b) ☐ Some * c) ☐ None of: | · | | | | |
| Certified copies of the priority docum | ents have been received. | | | | |
| 2. Certified copies of the priority docum | ents have been received in A | pplication No | | | |
| 3. Copies of the certified copies of the p | • | received in this National Stage | | | |
| application from the International Bur | , | | | | |
| * See the attached detailed Office action for a | list of the certified copies not | received. | | | |
| Attachment(s) | | | | | |
| 1) Notice of References Cited (PTO-892) | | Summary (PTO-413) | | | |
| 2) Notice of Draftsperson's Patent Drawing Review (PTO-948) 3) Information Disclosure Statement(s) (PTO-1449 or PTO/SB/ | | s)/Mail Date nformal Patent Application (PTO-152) | | | |
| Paper No(s)/Mail Date | 6) Other: | | | | |

Application/Control Number: 09/896,174 Page 2

Art Unit: 3722

DETAILED ACTION

Faxing of Responses to Office Actions

In order to reduce pendency and avoid potential delays, TC 3700 is encouraging

FAXing of responses to Office Actions directly into the Group at (703)872-9302 (Official) and

(703)872-9303 (for After Finals). This practice may be used for filing papers which require a fee

by applicants who authorize charges to a PTO deposit account. Please identify the examiner and

art unit at the top of your cover sheet. Papers submitted via FAX into TC 3700 will be promptly

forwarded to the examiner.

1. Claims 1 has been amended for further examination. Claim 2 has been canceled. Application/Control Number: 09/896,174 Page 3

Art Unit: 3722

Claim Rejections - 35 USC § 103

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

- (a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.
- 2. Claims 1 and 3-8 are rejected under 35 U.S.C. 103(a) as being unpatentable over Walker et al.

Walker et al discloses in Fig. 2, a lottery ticket comprising a first game area (120) on a row having a first end (right side of block 120E) containing play indicia; a second game area (130) on a row (130E) and the same number of rows as the first game area (120) and being adjacent the first end of the corresponding row of the first game area; a prize area (140) comprising prize designations for the row of the first game, wherein a player may win the prize designation set forth in the prize area; and a means for selecting the first game area and second game are to see if a prize is won.

However, Walker et al does not disclose target indicia; wherein the first game area has a plurality of rows, and wherein the play indicia appear on a face of a dice; wherein the second game area has a plurality of rows; wherein the first game area has from 3 to 6 play indicia present therein; a means for selecting each of the rows in the first and second game area; and a third play

Art Unit: 3722

area for designating a bonus prize, additional play numbers, additional target indicia or a multiplying feature.

In regards to **Claims 1**, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate as many rows, play indicia, and means for selecting each of the rows for each game area as desired by the end user, since it has been held that mere duplication of the essential working parts of a device involves only routine skill in the art. Therefore, it would have been obvious to include as many game are a rows and play indicia on the playing card, since applicant has not disclosed the criticality of having a particular number of playing indicia, and invention would function equally well with any desired playing number.

In regards to Claims 1, 3 and 6, wherein a plurality of play indicia used <u>for</u> playing a single game such that the number of games in the first game area is equal to the number of rows; wherein the second game area <u>designates</u> a target indicia which if present in only the corresponding adjacent row of the first game area may result in a prize being won; wherein first game area play indicia <u>corresponding to</u> the target indicia from the corresponding adjacent row of the second game area; wherein the indicia of the first game area are combined <u>to obtain</u> the target indicia in the second game area; and wherein the target indicia in the second game area are obtained by combining at least two play indicia from the first game area, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. In

Application/Control Number: 09/896,174 Page 5

Art Unit: 3722

a claim drawn to a process of making, the intended use must result in a manipulative difference as

compared to the prior art. Therefore, the indicia in the first and second game area is capable of

being obtained in any desirable manner.

In regards to Claims 1, 4 and 5, it would have been obvious to one having ordinary skill

in the art at the time the invention was made to incorporate any type of indicia as play indicia

since it would only depend on the intended use of the assembly and the desired information to be

displayed. Further, it has been held that when the claimed printed matter is not functionally

related to the substrate it will not distinguish the invention from the prior art in terms of

patentability. The fact that the content of the printed matter placed on the substrate may render

the device more convenient by providing an individual with a specific type of gaming card does

not alter the kind of functional relationship necessary for patentability. Therefore, it would have

been obvious to place any type of background play indicia such as dice or cards, since applicant

has not disclosed the criticality of having the background play indicia, and invention would

function equally as well with any indicia.

Response to Arguments

3. Applicant's arguments filed on March 4, 2004 have been fully considered but they are not

persuasive.

Application/Control Number: 09/896,174

Art Unit: 3722

In response to applicant's arguments that the prior art does not disclose or suggest that prior art "does not provide for the three game areas required in the reference ticket" and that only "two games areas are provided and multiple games are played", the examiner submits that the Walker et al reference does indeed disclose a lottery ticket having a first game area, second game area, and a prize area. Furthermore, it would have been obvious to one having ordinary skill in the art at the time the invention was made to incorporate as many rows, play indicia, and means for selecting each of the rows for each game area as desired by the end user, since it has been held that mere duplication of the essential working parts of a device involves only routine skill in the art. Therefore, it would have been obvious to include as many game are a rows and play indicia on the playing card, since applicant has not disclosed the criticality of having a particular number of playing indicia, and invention would function equally well with any desired playing number. In regards to applicant's argument that the prior art does not disclose a plurality of play indicia used for playing a single game such that the number of games in the first game area is equal to the number of rows, a recitation of the intended use of the claimed invention must result in a structural difference between the claimed invention and the prior art in order to patentably distinguish the claimed invention from the prior art. If the prior art structure is capable of performing the intended use, then it meets the claim. In a claim drawn to a process of making, the intended use must result in a manipulative difference as compared to the prior art. Therefore, the plurality is capable of being used for playing a single game in any desirable manner.

Application/Control Number: 09/896,174 Page 7

Art Unit: 3722

Applicant must further disclose the placement of each game area and game. Applicant may wish to disclose that each game is placed on only one row location with each additional game being on

a directly adjacent row, and further wherein each row is played.

Therefore, the examiner's rejection has been maintained.

Contact Information

Any inquiry concerning this communication or earlier communications from the examiner should be directed to Mark T. Henderson whose telephone number is (703)305-0189. The examiner can be reached on Monday - Friday from 7:30 AM to 3:45 PM. If attempts to reach the examiner by telephone are unsuccessful, the examiner supervisor, A. L. Wellington, can be reached on (703) 308-2159. The fax number for TC 3700 is (703)-872-9302. Any inquiry of a general nature or relating to the status of this application or proceeding should be directed to the TC 3700

receptionist whose telephone number is (703)308-1148.

MTH

June 8, 2005

derris H. Banks Supervisory patent examiner

TECHNOLOGY CENTER 3700